

DRAFT
SYLLABUS: POGO 750: STRATEGIC TRADE CONTROLS

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Office Hours:
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Course Description and Objectives

This course will equip students with a holistic, contextual, and global understanding of strategic trade, focusing on the use of trade sanctions, defense, and dual-use trade controls to manage security threats. The course will explore supply chain security, trade control systems, and compliance through readings, case studies, and practical exercises.

The course will cover international, regional, and national strategic trade legal and enforcement frameworks and mechanisms. In particular, the course will focus on U.S export control regulations such as the International Traffic in Arms Regulations (ITAR), Export Administration Regulations, Office of Foreign Asset Control sanctions, in order to equip students with the ability to apply knowledge of international and national rules to specific situations. Students will leave equipped with in-demand skills that can be applied in a variety of professional areas.

Learning Objectives

Knowledge and Understanding

- Understand the process by which sensitive items are transferred
- Understand strategic trade legal and enforcement frameworks and mechanisms
- Understand and apply compliance models as applied in different organizational profiles

Analytical Skills

- Apply export control laws to practical situations
- Gain expertise in compliance, particularly the components of informed compliance programs (ICPs)
- Develop basic open source analytical skills
- Think analytically regarding current and future challenges to strategic trade governance

Professional Development

- Understand and work within different strategic trade stakeholder communities
- Apply licensing, compliance, open source analysis, and problem solving to solving professional situations

Texts

Course texts will consist of:

- Journal articles (for example, from the *Strategic Trade Review*, *Nonproliferation Review*, *International Security*), and book chapters, think tank and research reports, and studies;
- Primary sources (regulations, statutes, cases).

Schedule

Some of the following may cover two course sessions.

1. Introduction to Strategic Trade and Geopolitical Context

Origin of trade controls, historical factors and cases, current geopolitical influences, modern case studies. Definitions, key concepts, the dual-use/arms international framework – UN resolutions, export control regimes, other relevant laws

2. Sanctions

Use of sanctions as policy instruments; types of sanctions, implementation of sanctions

3. Proliferation in Practice: Case Studies

This will explore cases of export control violations, both companies and individuals

4. National and Regional Regulatory Frameworks

Elements and forms of law, worldwide models, European Union, capacity-building programs

5. The U.S System I

Licensing, Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR)

6. The U.S System II

Office of Foreign Asset Control (OFAC) sanctions

7. Proliferation Finance and Financial Sector Compliance

Cases, international framework, implementation, policy challenges

8. Trade Compliance

Elements of a company compliance systems, public/private cooperation

9. Controls in Practice

Ratings and classification, screening, end-use and end-user verification, licensing, tracking tools – practical group exercise

10. Strategic Trade and Academia

Intangible transfers of technology (ITT), deemed exports and reexports, case studies, ICPs

11. Enforcement

Detection, risk-assessment, the role of Customs, penalties, case studies

12. The Future of Strategic Trade

Foreign transaction controls (with a primary focus on CFIUS), new suppliers, emerging technologies, blockchain, global governance

Guest Speakers

The course will include several guest lectures from experts from government, industry, and research.